SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

 OMB APPROVAL

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 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>Anand Kapila K |  |  |                 |       |   | 2. Issuer Name and Ticker or Trading Symbol OMEGA HEALTHCARE INVESTORS INC [ |                        |  |   |  |  |        |  |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |  |  |  |   |  |
|--|--|--|-----------------|-------|---|--|------------------------|--|---|--|--|--------|--|---|--|--|--|--|---|--|
|  |  |  |                 |       |   | ОНІ]   |                        |  |   |  |  |        |  |   | Officer (give title  |  |  | Other (s                                 |   |  |
| (Last) (First) (Middle)<br>303 INTERNATIONAL CIRCLE        |  |  |                 |       |   | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/11/2020               |                        |  |   |  |  |        |  |   | below)   | ,  |  | below)                                   | ,   |  |
| SUITE 200  |  |  |                 |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)        |  |                        |  |   |  |  |        | 6. Indiv<br>X  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |  |  |  |  |   |  |
| (Street)<br>HUNT VALLEY MD 21030                           |  |  |                 |       |   |  |                        |  |   |  |  |        | Form file  | d by More   | than O   | ne Reportin  | g Person   |  |   |  |
| (City)   | (State)  | (Zi  | o)              |       |   |  |                        |  |   |  |  |        |  |   |  |  |  |  |   |  |
|  |  | Та   | ble I - No      | n-Der | ivativ  | e Se   | curitie                | s Acq  | uired,                                  | Disp   | osed of,   | , or E | Benefi   | cially Ow   | ned  |  |  |  |   |  |
| Date   |  |  |                 |       | Transaction<br>te Execution Date,<br>if any<br>(Month/Day/Year) |  | Execution Date, if any |  | 3.<br>Transaction<br>Code (Instr.<br>8) |  | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 ar |        |  |   | Securities<br>Beneficial<br>Following  | Securities<br>Beneficially Owned<br>Following Reported   |  | nership<br>Direct (D)<br>irect (I)<br>4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |
|  |  |  |                 |       |   |  | Code                   | v  | Amount                                  |  | (A) or<br>(D)  | Price  | Transaction(s)<br>(Instr. 3 and 4)   |   |  |  | (Instr. 4)   |  |   |  |
| Common Stock 06/1  |  |  |                 |       | 1/2020  |  |                        |  | Α                                       |  | <b>4,597</b> <sup>(1)</sup>                                      |        | Α  | \$32.63   | 13,  | 676  |  | D  |   |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities) |  |                 |       |   |  |                        |  |   |  |  |        |  |   |  |  |  |  |   |  |
| 1. Title of<br>Derivative<br>Security (Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | Execution Date, |       |   | ransaction<br>code (Instr.<br>)  |                        | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |   | 6. Date Exercisable<br>Expiration Date<br>(Month/Day/Year) |  |        | and 7. Title and Amou<br>Securities Under<br>Derivative Securi<br>(Instr. 3 and 4) |   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e Ownershij<br>s Form:<br>Ily Direct (D)<br>or Indirect<br>g (I) (Instr. 4 | Ownership<br>Form:                       | Beneficial<br>Ownership<br>(Instr. 4)               |  |

Explanation of Responses:

1. Represents Director compensation via the annual grant of restricted stock that will vest on the date of the Company's 2021 Annual Meeting of shareholders, which is approximately one year from grant date.

Date Exercisable Expiration Date

Title

<u>/s/ Thomas H. Peterson.</u> <u>Attorney-in-Fact</u> \*\* Signature of Reporting Person

Number of Shares

Date

06/15/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)