SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Anand Kapila K OMEGA HEALTHCARE INVESTORS INC [OHI] (Check all applicable) X Director X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title below) Other (specific below) 303 INTERNATIONAL CIRCLE 06/07/2021 0 0	1 Title of Security (Instr 3)	2 Tra	nsaction	2A. Deemed	3.	4. Securities Acquired (A) or	r	5. Amount of	6. Ownership	7. Nature of		
Anand Kapila K OMEGA HEALTHCARE INVESTORS INC [OHI] OMEGA HEALTHCARE INVESTORS INC [OHI] Suite of Earliest Transaction (Month/Day/Year) 303 INTERNATIONAL CIRCLE SUITE 200 (Street) HUNT VALLEY MD 21030		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Anand Kapila K OMEGA HEALTHCARE INVESTORS INC [OHI] (Check all applicable) X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title below) Other (specificable) 303 INTERNATIONAL CIRCLE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable I) (Street) Form filed by One Reporting Person Form filed by One Reporting Person	(City)	(State)	(Zip)										
Anand Kapila K OMEGA HEALTHCARE INVESTORS INC [OHI] (Check all applicable) X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title below) Other (specificable) 303 INTERNATIONAL CIRCLE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable I) X Form filed by One Reporting Person	HUNT VALLEY	MD	21030										
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Anand Kapila K OMEGA HEALTHCARE INVESTORS INC [OHI] (Check all applicable) X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title below) Other (specific below)	SUITE 200			4. If Ame	endment, Date of Or	iginal Filed (Mo	nth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
Anand Kapila K OMEGA HEALTHCARE INVESTORS INC [Check all applicable) X Director 10% Owner			(Middle)			on (Month/Day/	Year)		below)	below	/)		
Construction of Construction Construction Construction Construction Construction of Constructi	1. Name and Address of Reporting Person [*] Anand Kapila K					0,			all applicable) Director	10%	Owner		

	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					Securities Beneficially Owned Following Reported	(Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Disposed	rivative Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Deferred Stock Units	(1)	06/07/2021		A		6,108 ⁽²⁾		(3)	(4)	Common Stock	6,108	\$ <mark>0</mark>	6,108	D	

Explanation of Responses:

1. Units convert into shares of common stock on a 1 for 1 basis. If the participant so elects, dividends will also be converted into Deferred Stock Units.

2. These units represent the annual grant of restricted stock elected to be taken as Deferred Stock Units by the reporting person. The units are restricted as to transfer and sale until Omega's 2022 Annual Meeting of Shareholders (the "Vesting Date").

3. These units are restricted as to sale and transfer until the Vesting Date. The units will be converted into shares of common stock upon separation from service, death, disability, or certain specified events, all as defined in such plan.

4. These units will be converted into shares of common stock upon separation from service, death, disability, or certain specified events, all as defined in such plan.

/s/ Thomas H. Peterson, Attorney-in-Fact

** Signature of Reporting Person

06/09/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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